

# Languages as Mechanisms for Interaction

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## Incremental interactions in dialogue

**A:** I'm afraid I've burnt the kitchen

**B:** Did you burn

**A:** myself? No fortunately not ...

**A:** Is anyone coming from the US?

**B:** Sue, from Amherst, who we've promised we won't...

**A:** abandon?

**B:** so we are putting her on a plane from...

**C:** Gatwick

**A:** Will you choose your son as your executor, or

**B:** my wife.

**A:** They took my urine sample, and blood. The doctor

**B:** Chorlton? **A:** Yeah, he said I needed a biopsy.

These examples demonstrate that:

1. sentence (turn) processing is incremental
2. role-switch can split apart ANY syntactic/semantic dependency both late and early in clause
3. propositions, intentional attitudes and speech acts emerge over course of exchange

Can our grammars model these data ?

## Challenges

**Word/String-Based Grammars** preclude incremental processing  $\Rightarrow$  Split utterance data inexpressible

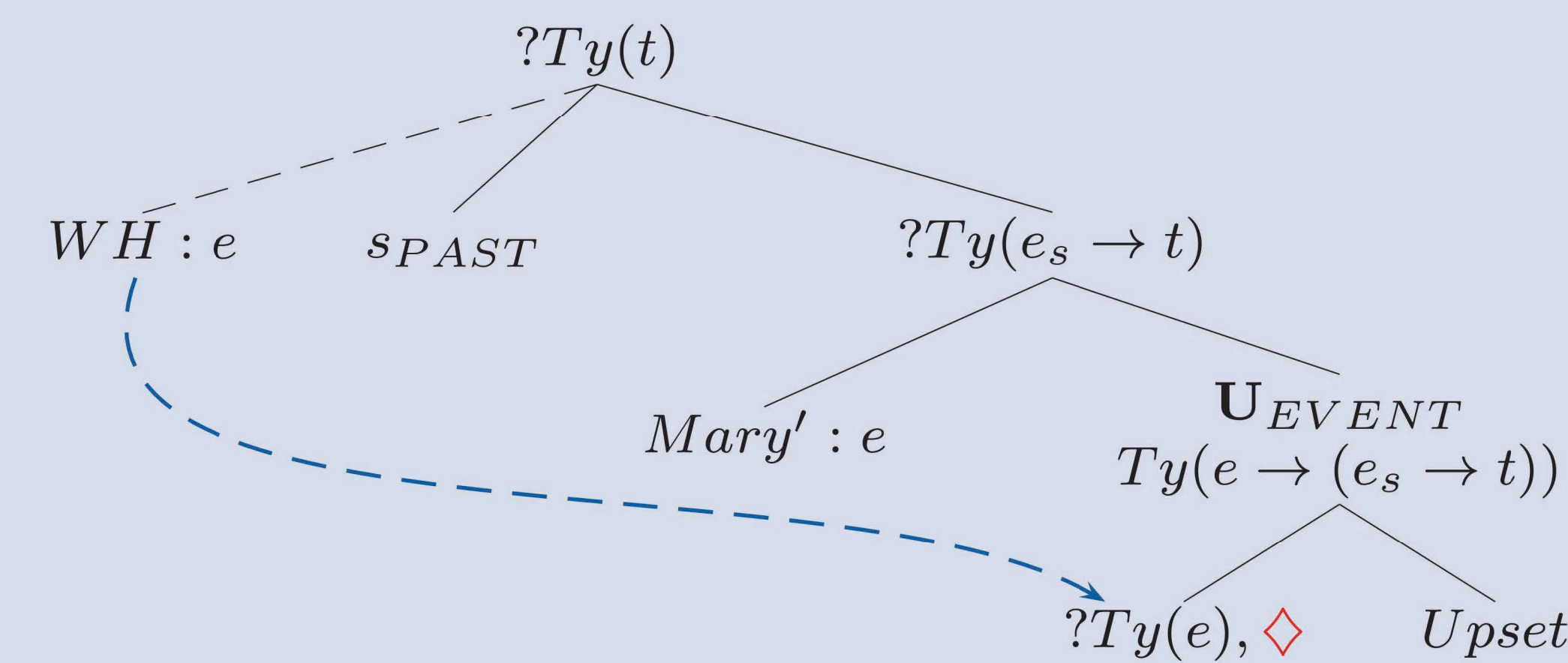
**Unstructured Semantic Models** exclusively bottom-up; incrementality sentence by sentence;  $\Rightarrow$  Fragments as "incomplete sentences"; massive homophony.

**Dynamic Syntax** eschews "syntax" as a level of representation, instead "syntax"  $\approx$  set of actions that induce/develop partial contents directly

## Dynamic Syntax derivations

- Syntax: goal-driven actions, incorporating context at each step
- Updating partial trees to yield propositional goal
- (discontinuity/anaphora/ellipsis)

Processing *Who did Mary upset?*



WH-term initially unfixed, subject locally unfixed,  
AUX projects partial propositional template,  
Verb expands template and fixes subject.  
WH-term then unifies with object and goal derived.  
Production/parsing coupled with goal-tree as subsumption check.

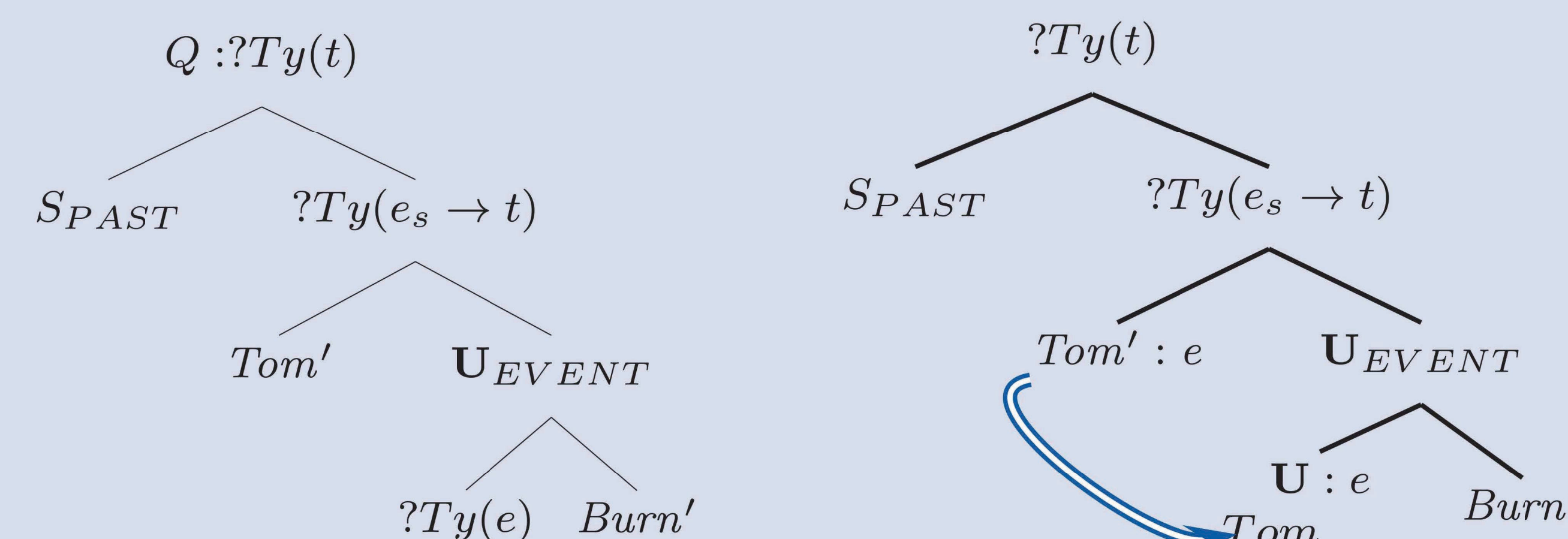
## Grammar as actions: Novel prediction 1

**Split utterances: hearer's prediction of upcoming input leads to lexical access; incremental licensing allows take-over with new goal:**

*Burn(Tom)(Tom)(SPAST)*

Sue: Did you burn... Tom: myself?

SHARED CONTEXT AT SHIFT TEST/PARSE TREE AT SHIFT



Speakers and hearers mirror each other's processing, so role-shift licensed across all dependencies

## Grammar as actions: Novel prediction 2

**Mechanism for long-distance dependencies predicted to parallel anaphora:**

*both involve underspecification+update*

**Anaphora resolvable 3 ways: indexically, from previous and following linguistic content**

**A:** (seeing John coughing). He shouldn't smoke

**A:** John coughed. **B:** He had been smoking

**A:** It's likely that I am wrong

**Structural underspecification also resolvable 3 ways**

- Long-distance dependency = forwards resolution

**A:** The books, I'm told are not worth insuring.

- Stripping = Backwards resolution by re-running actions from context

**A:** Jo needs to check her spelling. **B:** Sue too

- Pragmatic ellipsis (one-word utterances) = indexical resolution

2-year-old on back of mother's bike pointing to empty mooring where he and father had been clearing out the boat the previous day:

**Eliot:** Daddy

**Mother:** That's right dear. You were here with him yesterday, clearing out the boat.

None of these processing choices require mind-reading. Choices triggered through mirroring each other's processing/context.

## Conclusion

Syntax: an embodied skill consisting of coupled interlocutor actions for incremental processing in context, without necessary intention recognition.

Cann, R., Kempson, R., Marten, L. (2005). *The Dynamics of Language*. Elsevier.

Thanks:

The Dynamics of Conversational Dialogue (DynDial; ESRC-RES-062-23-0962)